

# Uncertainty-aware Self-ensembling Model for Semi-supervised 3D Left Atrium Segmentation

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# Outline

- **Background**
- Introduction to WSL
- Introduction to UA-MT
- UA-MT
- Experiments
- Case Study
- Improvement
- Conclusion
- Discussion
- Q&A

# Background

## Information

- Paper-1: A Brief Introduction to Weakly Supervised Learning
- Authors: **Zhi-Hua Zhou**
- Publish: National Science Review
- Url: <https://academic.oup.com/nsr/article/5/1/44/4093912>
- Paper-2: Uncertainty-aware Self-ensembling Model for Semi-supervised 3D Left Atrium Segmentation
- Authors: **Lequan Yu, Shujun Wang, Xiaomeng Li, Chi-Wing Fu, Pheng-Ann Heng**
- Publish: MICCAI 2019
- Url: <https://arxiv.org/abs/1907.07034>
- Code: <https://github.com/yulequan/UA-MT>

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# Introduction to WSL

## Weakly Supervised Learning (WSL)

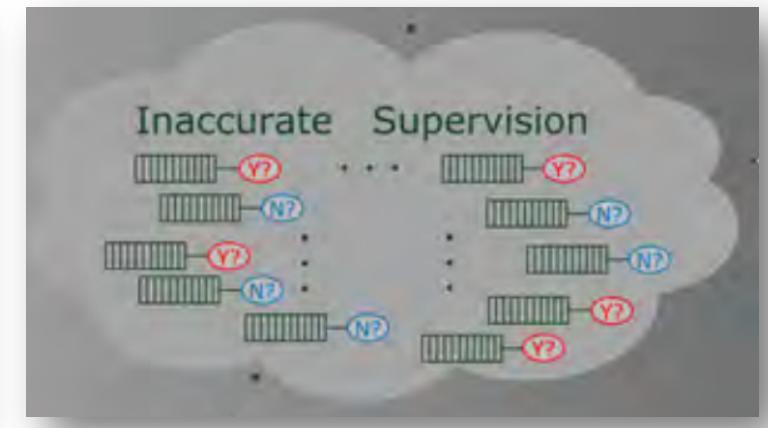
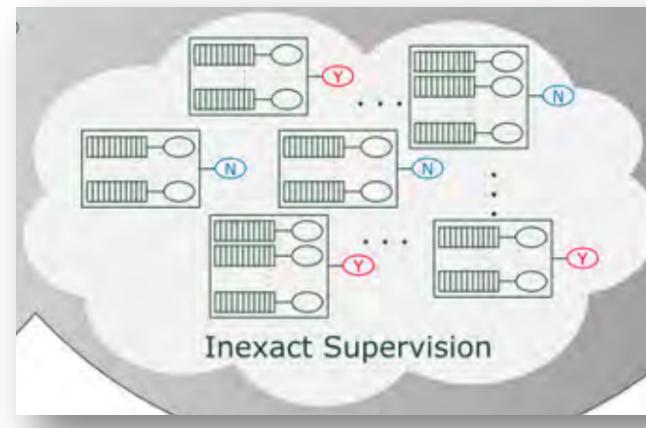
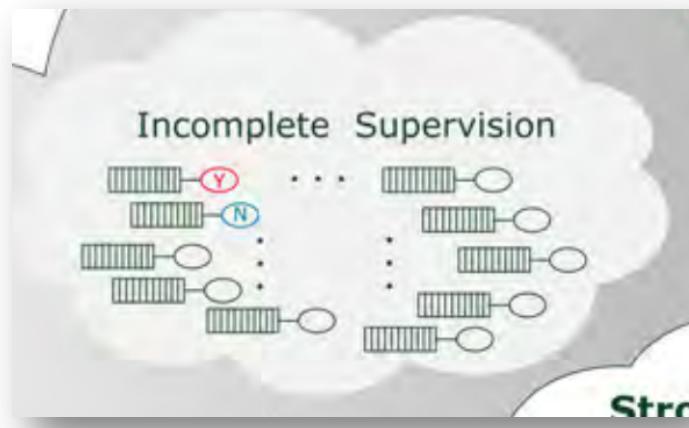
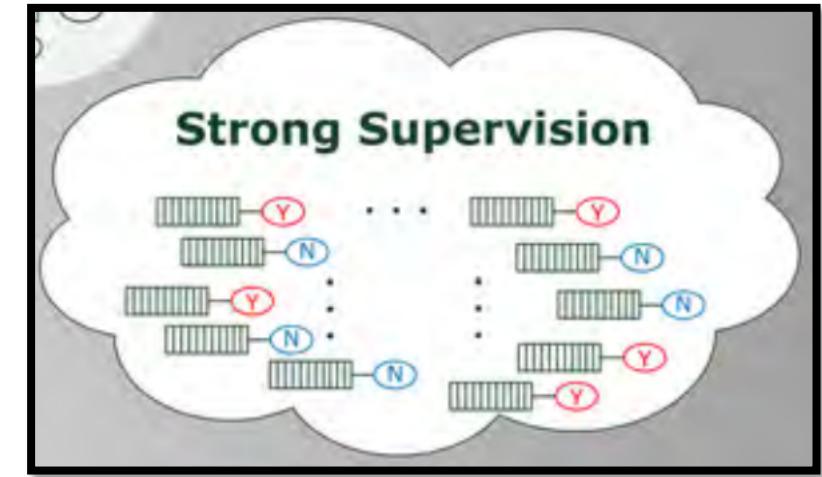
- Motivation
  - In many tasks, it is difficult to get **strong supervision information**
  - like fully ground-truth labels
  - due to the **high cost** of data labeling process.
- Taxonomy of WSL
  - **Incomplete Supervision** 不完全监督
    - Lack of annotations (i.e., existing plenty of unlabeled data)
  - **Inexact Supervision** 不确切监督
    - Only coarse annotations available
  - **Inaccurate Supervision** 不准确监督
    - Learning with label noise

# Introduction to WSL

## Weakly Supervised Learning (WSL)

### Taxonomy of WSL

- **Incomplete** Supervision 不完全监督
- **Inexact** Supervision 不确切监督
- **Inaccurate** Supervision 不准确监督



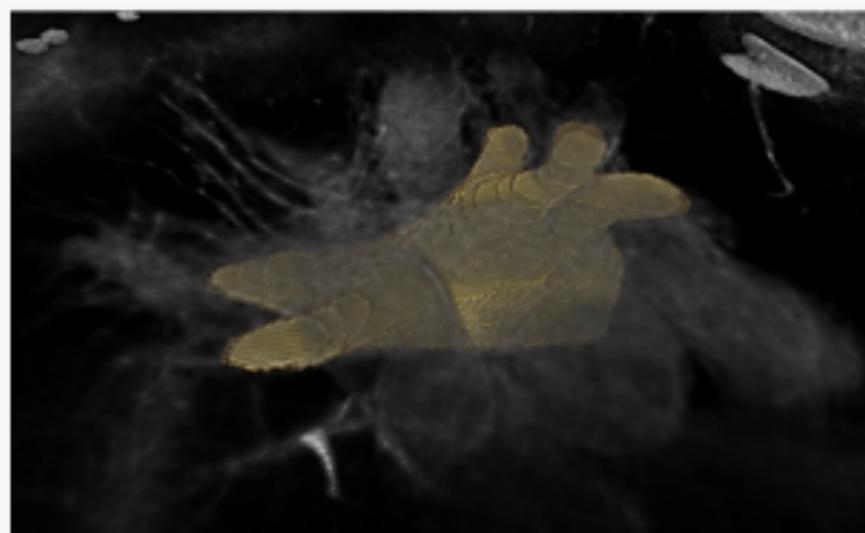
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# Introduction to UA-MT

## 3D Left Atrium(LA) Segmentation

- Pathology: Atrial Fibrillation
- Images: 3D Gadolinium-Enhanced Magnetic Resonance (MR) Imaging
- Labels : 3D Binary Masks of the Left Atrial Cavity



3D Left Atria Superimposed on LGE-MRI



3D Left Atria Visualization

# Introduction to UA-MT

## Challenges

- Lack of labeled data
  - **Why?** High cost in collecting and annotating

## Motivation

- **UA-MT: Uncertainty-Aware Mean Teacher Framework**
- **Three Key Points**
- **Semi-supervised Learning**
  - Leveraging abundant **unlabeled** data
- **Uncertainty-aware (UA)**
  - Considering the **reliability** of the targets
- **Mean Teacher (MT)**
  - To overcome the limitations of **Temporal Ensembling**

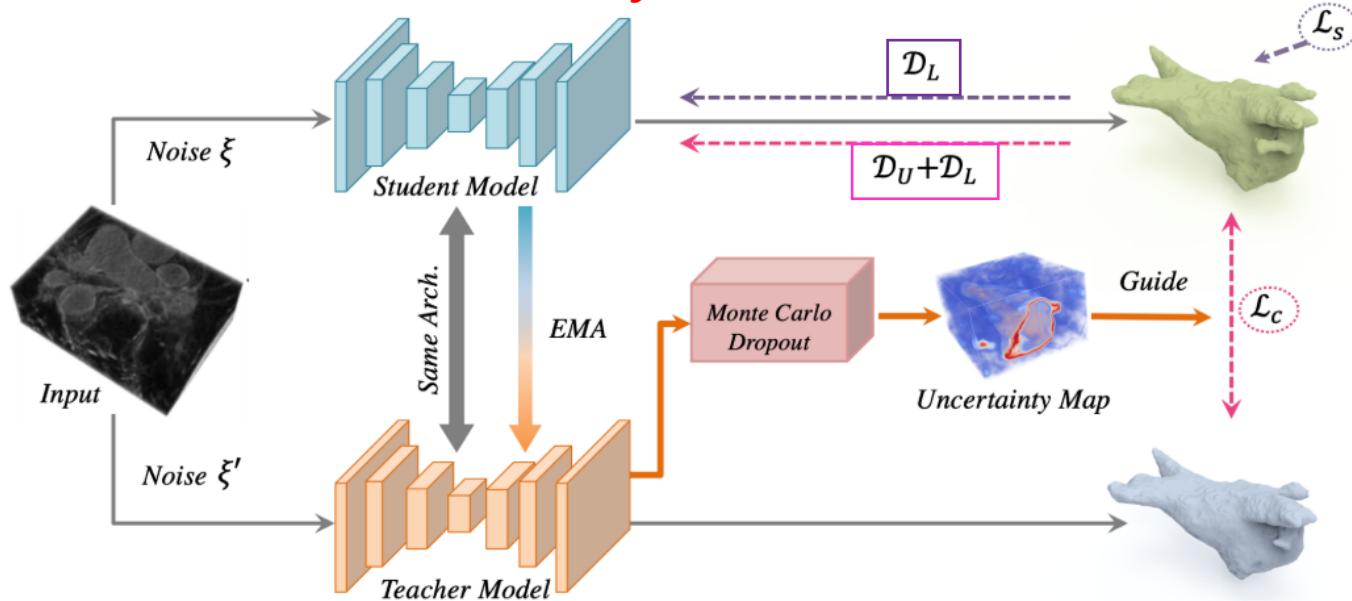
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# UA-MT

## Network Structure

- Consisting of a student model and a teacher model
  - **Teacher model**
    - Generates **targets** for the student model to learn from
    - Estimates the **uncertainty** of the targets
  - **Student model**
    - optimized by minimizing two kinds of loss
      - **$L_s$**  : **supervised loss** on labeled data
      - **$L_c$**  : **consistency loss** on both labeled and unlabeled data

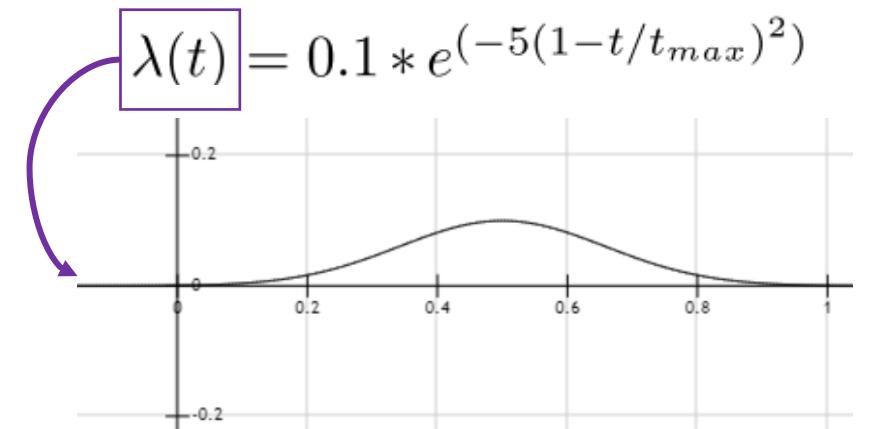


Labeled Data:  $\mathcal{D}_L = \{(x_i, y_i)\}_{i=1}^N$

Unlabeled Data:  $\mathcal{D}_U = \{x_i\}_{i=N+1}^{N+M}$

Objective function:

$$\min_{\theta} \sum_{i=1}^N \mathcal{L}_s(f(x_i; \theta), y_i) + \lambda \sum_{i=1}^{N+M} \mathcal{L}_c(f(x_i; \theta', \xi'), f(x_i; \theta, \xi))$$



# UA-MT

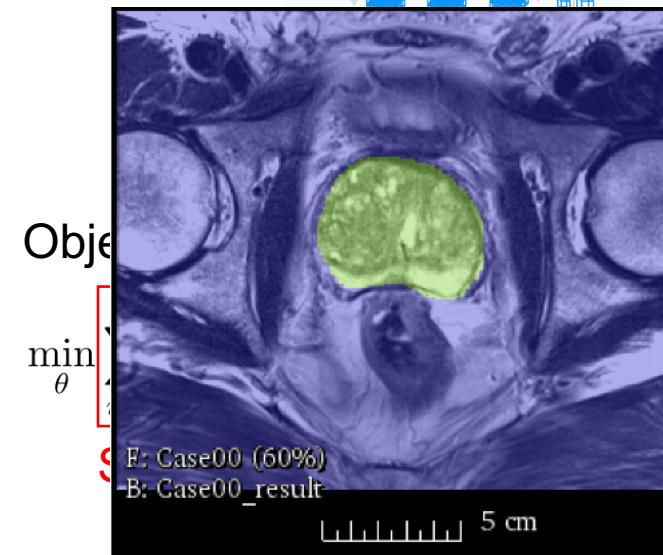
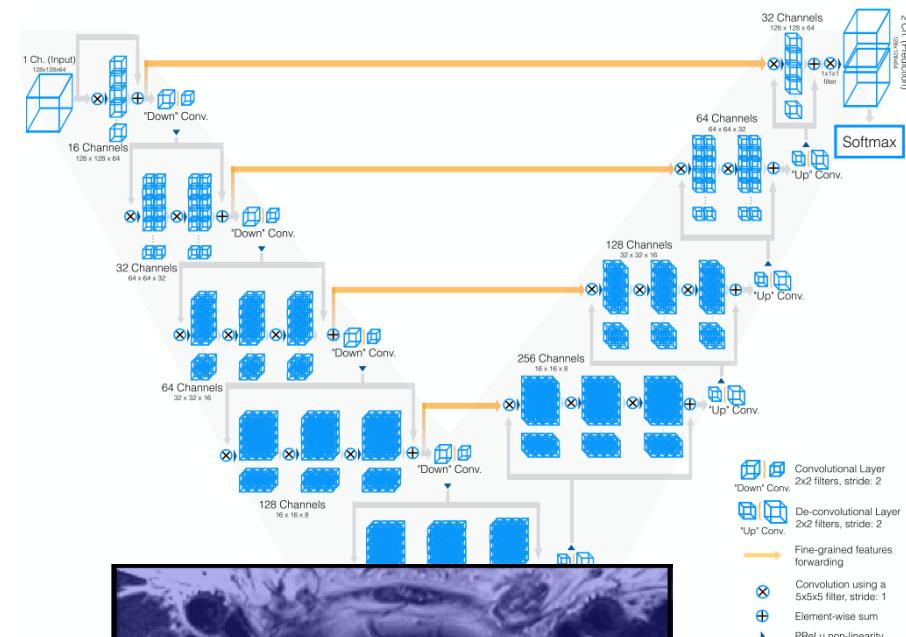
## Backbone

- V-Net: a **volumetric, fully convolutional** neural network for 3D image segmentation
- Input shape:  $128 \times 128 \times 64$
- Output shape:  $2 \times 128 \times 128 \times 64$ 
  - **2 Classes** : Background (0) / Foreground (1)

## Supervised Loss

- Cross entropy loss + Dice loss
  - **Why dice loss?**
    - Foreground occupies only a small region
    - Give more importance to foreground
  - Dice loss =  $1 - D$  (dice coefficient)

$$D = \frac{2 \sum_i^N p_i g_i}{\sum_i^N p_i^2 + \sum_i^N g_i^2}$$



## Uncertainty Estimation

- Why?

- The **predicted targets** from the teacher model may be **unreliable and noisy**
- Previous works do not consider the **reliability** of the targets

- Estimate the uncertainty with
  - Monte Carlo Sampling
  - Test Data Augmentation

- **Predictive Entropy**

- The metric to approximate the uncertainty  $\{\mathbf{p}_t\}_{t=1}^T$ 
  - obtain a set of softmax probability vector:

$$\mu_c = \frac{1}{T} \sum_t \mathbf{p}_t^c$$

and

$$u = - \sum_c \mu_c \log \mu_c$$

- **Uncertainty-Aware Consistency Loss**

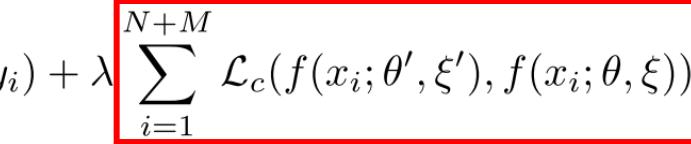
- voxel-level mean squared error (MSE) loss

$$\mathcal{L}_c(f', f) = \frac{\sum_v \mathbb{I}(u_v < H) \|f'_v - f_v\|^2}{\sum_v \mathbb{I}(u_v < H)}$$

Objective function:

$$\min_{\theta} \sum_{i=1}^N \mathcal{L}_s(f(x_i; \theta), y_i) + \lambda \sum_{i=1}^{N+M} \mathcal{L}_c(f(x_i; \theta', \xi'), f(x_i; \theta, \xi))$$

**Consistency Loss**



T=4	Fore	Back
Sample 1	0.9	0.1
Sample 2	0.91	0.09
Sample 3	0.92	0.08
Sample 4	0.89	0.11
Mean	0.905	0.095
<b>Uncertainty</b>	<b>0.453</b>	

T=4	Fore	Back
Sample 1	0.9	0.1
Sample 2	0.8	0.2
Sample 3	0.95	0.05
Sample 4	0.75	0.25
Mean	0.85	0.15
<b>Uncertainty</b>	<b>0.609</b>	

## Mean Teacher (MT)

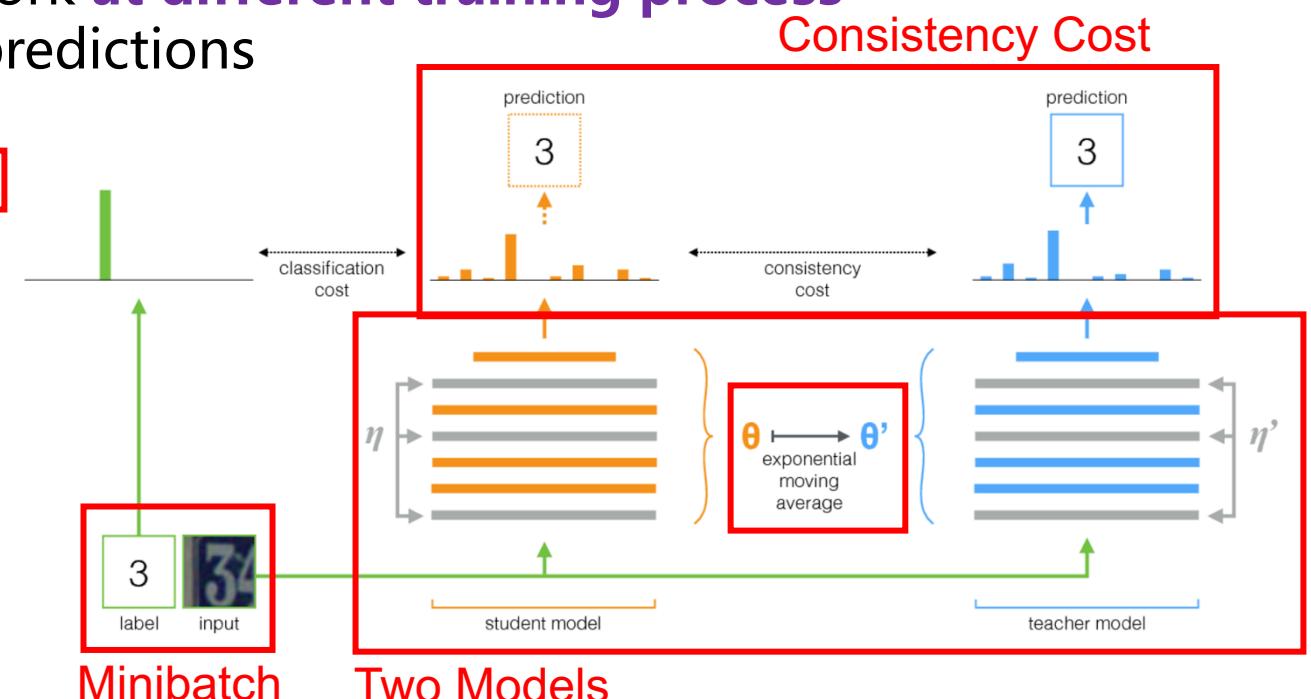
- Why called Mean Teacher?
  - The teacher model is an **average** of consecutive student models
- Why using Mean Teacher?
  - To overcome the limitations of Temporal Ensembling
  - Ensemble predictions of the network **at different training process** can improve the **quality** of the predictions

### Exponential Moving Average (EMA)

- Updating the teacher' s weights  $\theta'_t$  at training step t as:

$$\theta'_t = \alpha \theta'_{t-1} + (1 - \alpha) \theta_t$$

$$\alpha = \min(1 - 1 / (t + 1), \alpha)$$



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# Experiments

## Atrial Segmentation Challenge dataset

- Distribution
  - **100 scans in total**
    - 80 for training
    - 20 for evaluation

## Evaluation

- Metrics
  - Dice
  - Jaccard
  - Average Surface Distance (ASD)
  - 95% Hausdorff Distance (95HD)
- **Outperforms** the state-of-the-art semi-supervised methods

Table 1: Comparison between our method and various methods.

Method	# scans used		Metrics			
	Labeled	Unlabeled	Dice[%]	Jaccard[%]	ASD[voxel]	95HD[voxel]
Vanilla V-Net	16	0	84.13	73.26	4.75	17.93
Bayesian V-Net	16	0	86.03	76.06	3.51	14.26
Vanilla V-Net	80	0	90.25	82.40	1.91	8.29
Bayesian V-Net	80	0	91.14	83.82	1.52	5.75
Self-training [1]	16	64	86.92	77.28	2.21	9.19
DAN [18]	16	64	87.52	78.29	2.42	9.01
ASDNet [12]	16	64	87.90	78.85	<b>2.08</b>	9.24
TCSE [10]	16	64	88.15	79.20	2.44	9.57
<b>UA-MT-UN (ours)</b>	16	64	88.83	80.13	3.12	10.04
<b>UA-MT (ours)</b>	16	64	<b>88.88</b>	<b>80.21</b>	2.26	<b>7.32</b>

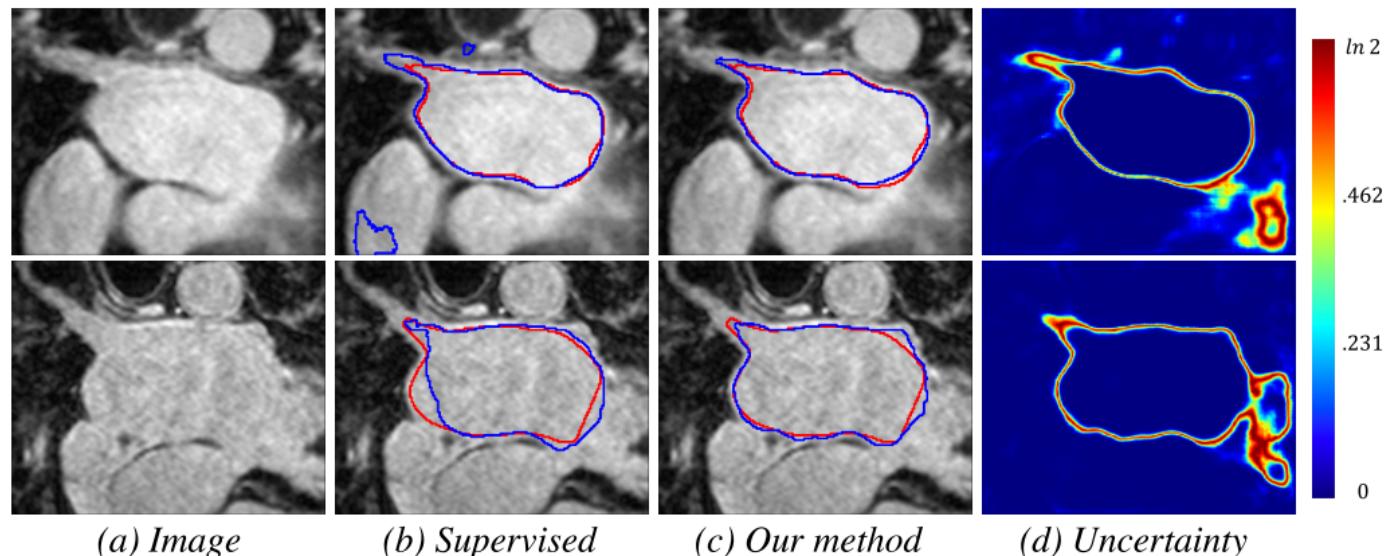
Table 2: Quantitative analysis of our method.

Method	# scans used		Metrics			
	Labeled	Unlabeled	Dice[%]	Jaccard[%]	ASD[voxel]	95HD[voxel]
MT	16	64	88.23	79.29	2.73	10.64
MT-Dice [5]	16	64	88.32	79.37	2.76	10.50
Our UA-MT	16	64	88.88	80.21	2.26	7.32
Bayesian V-Net	8	0	79.99	68.12	5.48	21.11
Our UA-MT	8	72	84.25	73.48	3.36	13.84
Bayesian V-Net	24	0	88.52	79.70	2.60	10.45
Our UA-MT	24	56	90.16	82.18	2.73	8.90

# Case Study

## Segmentation examples

- Compared with the **supervised** method, UA-MT
  - have higher overlap ratio with the ground truth
  - produce less false positives
- The network estimates high uncertainty
  - near the **boundary**
  - **ambiguous regions** of great vessels



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# Improvement

## Better Uncertainty Estimation Module (UEM)

- **Uncertainty Estimation Matters**
  - The key of success lies in **the quality of targets**
  - **Effect**
    - Identify difficult cases
    - Detect out-of-distribution samples
- Weakness of UEM in UA-MT
  - Only concerning single type of noise
  - Only one metric
- **Improvement (of Presentation Level)**
  - **More metrics** to quantify the uncertainty [\[1\]](#)
    - **Variance**
    - **Bhattacharyya Coefficient (BC)**
  - Design an uncertainty-Aware **attention module** [\[2\]](#)

Uncertainty	Agg. Metric	Bal. Acc.	AUC. UNK
MC Drop.	Entropy	0.476	0.613
	Variance	0.508	0.645
	BC	0.525	0.579
Test Aug.	Entropy	0.411	0.660
	Variance	0.390	0.684
	BC	0.377	0.622
Both	Entropy	0.437	0.670
	Variance	0.349	<b>0.692</b>
	BC	0.379	0.622
Control	-	<b>0.550</b>	0.500

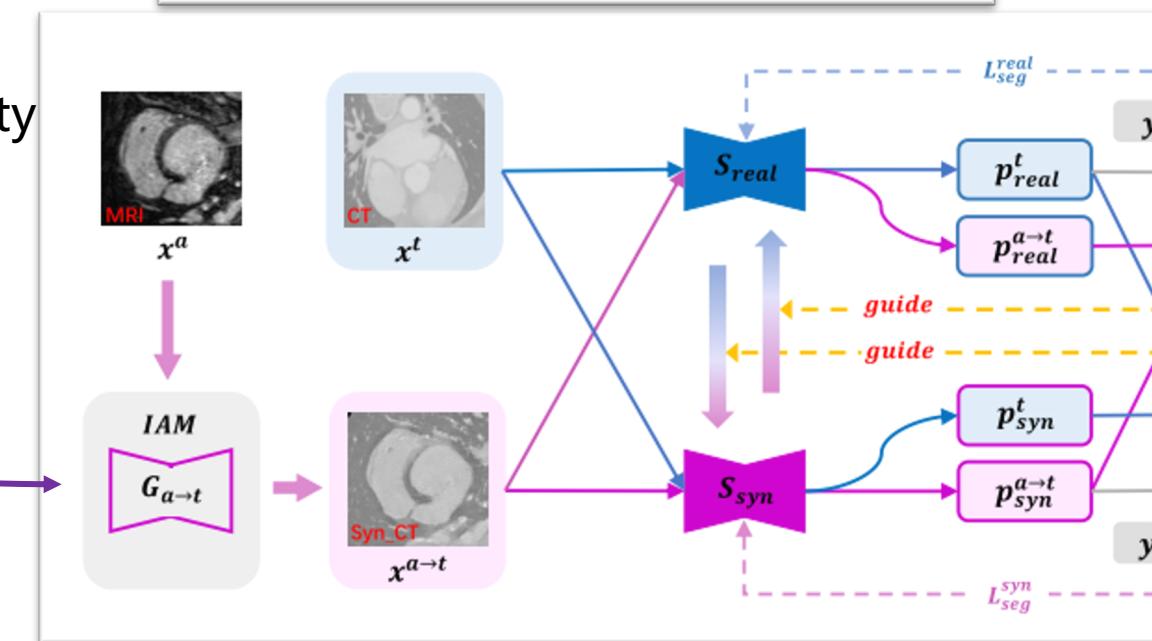
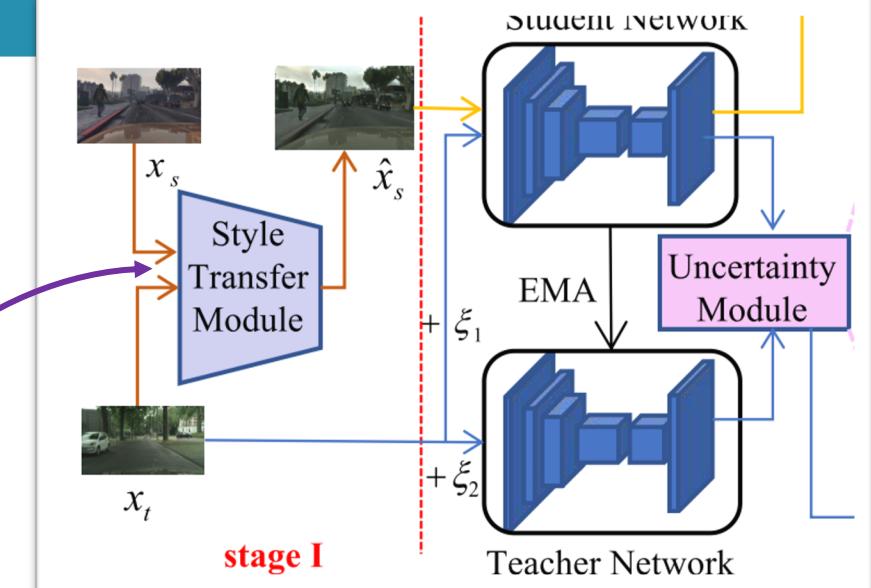
$$\sigma^2(\mathbf{p}_T(x)) = \frac{1}{T} \sum_{t=1}^T (\mathbf{p}_t(x) - \mathbf{p}_T(X))^2$$

$$BC(h_{c1}, h_{c2})(x) = \sum_{n=1}^N \sqrt{h_{c1}[n] * h_{c2}[n]}$$

# Improvement

## Transferable Prior Knowledge

- To make up annotation scarcity
- Weakness of UA-MT
  - Only using single small-scale dataset
  - No enough knowledge
- **Improvement**
  - Exploit the prior knowledge (e.g. shape priors)
    - learned from assistant modality
    - to improve the performance on target modality
  - **Style Transfer Module [3]**
    - Produce translated images to address the domain gap
  - **Image Alignment Module [4]**
    - To narrow the appearance gap between assistant and target modality data



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# Conclusion

## UA-MT

- A novel **uncertainty-aware semi-supervised learning** method for left atrium segmentation from 3D MR images
- Encourages the segmentation to be **consistent**
  - For the same input under different **perturbations**
- Explore the model **uncertainty** to improve the quality of the target
- **Outperforms** the state-of-the-art semi-supervised methods

# Discussion

## Use UA-MT for reference (in our project)

- **Better Performance**
  - Utilize abundant unlabeled data
- **Higher Stability**
  - Uncertainty estimation
  - Improving training process
- **Explore new ideas**
  - Weakly supervised Learning
    - Semi-supervised learning
    - Label-noise representation learning
    - Transfer learning

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# Q&A

## Thanks for your attention!

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